JENS E. HOEKENDIJK P.O. Box 4787 Burlingame, CA 94011-4787 (415) 412-3322 Attorney Docket No. 047-D1-C1
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BOX PATENT APPLICATION
Washington, DC 20231

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1c987 U.S. PTO 09/759431

Sir:

Transmitted herewith for filing under 37 CFR-\$1.253(b) is the continuation of application no. 09/114,307, filed July 13, 1998, which is a divisional patent application of U.S. patent application Serial No. 08/570,286, filed December 11, 1995, now issued as U.S. Patent No. 5,795,325, which is a continuation-in-part of Serial No. 08/486,216, filed June 7, 1995, now issued as U.S. Patent No. 5,766,151, which is a continuation-in-part of application of U.S. patent application Serial No. 08/282,192, filed July 28, 1994, now issued as U.S. Patent No. 5,584,803, which is a continuation-in-part of application Serial No. 08/162,742, filed December 3, 1993, now abandoned, which is a continuation-in-part of application Serial No. 08/123,411, filed September 17, 1993, now abandoned, which is a continuation-in-part of application Serial No. 07/991,188, filed December 15, 1992, now abandoned, which is a continuation-in-part of application Serial No. 07/730,559, filed July 16, 1991, which issued as U.S. Patent No. 5,370,685 on December 6, 1994.

Inventor(s):

KIRSTEN L. VALLEY, DAVID W. SNOW, SYLVIA W. FAN and RICHARD L. MUELLER, JR.

Loni M. Cummings

For:

METHODS AND APPARATUS FOR ANCHORING AN OCCLUDING MEMBER

Enclosed are:

[X] Specification (85 pages); 49 claims on pages 74-84.

[X] Forty-four (44) sheets of formal drawings.

[X] The prior application is assigned of record to: HEARTPORT, INC.

[X] Copy of Oath or Declaration from prior application (37 CFR 1.63(d)) with Power of Attorney.

[X] Incorporation By Reference: the entire disclosure of the prior application, from which a copy of the Oath or Declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[X] A verified Statement to Establish Small Entity Status under 37 CFR §1.9 and 37 CFR §1.27 & 1.28 was filed in the prior application and small entity status is still proper and desired.

[X] Change of Correspondence Address.

[X] Preliminary Amendment.

[X] Information Disclosure Statement.

The filing fee has been calculated as shown below:

	NO. FILED		NO, EXTRA	SMALL ENTITY RATE	FEES
TOTAL CLAIMS	20-20 =	-	0	x09	\$
INDEP. CLAIMS	3-3 =	ľ	0	×40	\$
BASIC FEE				\$355	\$355
				TOTAL	\$355

Please charge Deposit Account No. 08-1510 the following fees:

[X] The filing fee of \$355.00.

[X] Any additional fees or credit any overpayment to Deposit Account No. 08-1510 during the pendency of this application.

[X] 2 copies of this sheet are enclosed.

Respectfully submitted,

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